

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
P4422-3001

In Re Application Of: **Roberto Pozzati et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
PCT/US2004/036827	November 5, 2004				

Title:

**METAL VALVE STEM SEAL AND SEALING SYSTEM**

Address to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- the statement specified in 37 CFR 1.97(e);

**OR**

- the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 500977 as described below.
- Charge the amount of \_\_\_\_\_
  - Credit any overpayment.
  - Charge any additional fee required.
- Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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Karin E. Peterka  
\_\_\_\_\_  
Signature

**Certificate of Mailing by First Class Mail**

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Dated: November 27, 2006

CC:

**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

Docket Number (Optional)  
**P4422-3001**

Application Number  
**PCT/US2004/036827**

Applicant(s)  
**Roberto Pozzati et al.**

Filing Date

Group Art Unit

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 6,488,263 B2	12/03/2002	Robert			04/19/2001
		US 6,422,568 B1	07/23/2002	Kotlyar			08/10/2001
		US 6,345,805 B1	02/12/2002	Chatufale			01/31/2000
		US 6,250,604 B1	06/26/2001	Robert			03/31/2000
		US 6,007,070	12/28/1999	Heathcott et al.			07/17/1997

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	WO 2006/052237 A1	05/18/2006	WIPO			✓	

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

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Applicant(s) Roberto Pozzati et al.	
Filing Date	Group Art Unit

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 5,977,003	12/07/1999	Turner			01/27/1997
		US 5,375,812	12/27/1994	Kent			12/06/1993
		US 5,092,609	03/03/1992	Balzano et al.			09/16/1988
		US 5,031,923	07/16/1991	Davies			07/03/1990
		US 4,907,812	03/13/1990	Meyer			10/06/1986

**U.S. PATENT APPLICATION PUBLICATIONS**

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 4,658,847	04/21/1987	McCrone			07/09/1985
		US 4,510,960	04/16/1985	Jennings et al.			09/30/1983
		US 4,381,114	04/26/1983	Vanderford, Jr.			11/23/1981
		US 4,363,465	12/14/1982	Morrill			06/16/1976
		US 4,264,054	04/28/1981	Morrill			10/30/1978

**U.S. PATENT APPLICATION PUBLICATIONS**

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 4,229,027	10/21/1980	Morrill			09/15/1977
		US 4,029,294	06/14/1977	McCaskill et al.			10/04/1974

**U.S. PATENT APPLICATION PUBLICATIONS**

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